

**"PATENT"****DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Polymerization Catalyst Systems, Their Use, Their Products and Articles Thereof the specification of which is attached hereto unless the following box is checked:

☒ was filed on December 8, 1998 as Application Serial No. or PCT International Application No. 09/207,213 and was amended on N/A (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate(s), or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate(s), or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)Priority Claimed

_____	_____	_____	[ ]	[ ]
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. § 119(e)(1)-(2) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>08/986,696</u>	<u>December 8, 1998</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

<b>NAMES</b>	<b>REGISTRATION NUMBERS</b>
Jaimes Sher	34,726
Catherine L. Bell	35,444
William G. Muller	32,281

<b>SEND CORRESPONDENCE TO:</b>	<b>DIRECT TELEPHONE CALLS TO:</b>
Univation Technologies LLC	(Name and Telephone Number)
5555 San Felipe, Suite 1950	Jaimes Sher
Houston, Texas 77056-2723	(713) 892-3668
	Facsimile No. (713) 892-3699

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME Loveday	FIRST NAME Donald	MIDDLE NAME R.	
RESIDENCE & CITIZENSHIP	CITY Houston	STATE OR FOREIGN COUNTRY Texas	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 2419 Jasmine Ridge Court	CITY Houston	STATE OR COUNTRY Texas	ZIP CODE 77062

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

FULL NAME OF INVENTOR	LAST NAME Jejelowo	FIRST NAME Moses	MIDDLE NAME Olukayode	
RESIDENCE & CITIZENSHIP	CITY Houston	STATE OR FOREIGN COUNTRY Texas	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 12827 Justin	CITY Houston	STATE OR COUNTRY Texas	ZIP CODE 77070

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

FULL NAME OF INVENTOR	LAST NAME Kao	FIRST NAME Sun-Chueh	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY Belle Mead	STATE OR FOREIGN COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 7 Durham Court	CITY Belle Mead	STATE OR COUNTRY New Jersey	ZIP CODE 08502

Inventor's signature Sun Chueh KaoDate April 7, 99'

"PATENT"

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Polymerization Catalyst Systems, Their Use, Their Preparation and Their Use in the Polymerization of

## Polymerization Catalyst Systems, Their Use, Their Products and Articles Thereof

the specification of which is attached hereto unless the following box is checked:

[X] was filed on December 8, 1998 as Application Serial No. or PCT International Application No. 09/207,213  
and was amended on N/A (if applicable).

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Prior Foreign Application(s)

Priority Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes

[ ]  
No

I hereby claim the benefit under 35 U.S.C. § 119(e)(1)-(2) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

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08/986,696

(Application Serial No.)

December 8, 1998

(Filing Date)

Pending

(Status - patented, pending, abandoned)

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## NAMES

Jaimes Sher

Catherine L. Bell

William G. Muller

## REGISTRATION NUMBERS

34.726

35.444

32,281

---

SEND CORRESPONDENCE TO:

Univation Technologies LLC

5555 San Felipe, Suite 1950

Houston, Texas 77056-2723

**DIRECT TELEPHONE CALLS TO:**

(Name and Telephone Number)

Jaimes Sher

(713) 892-3668

Facsimile No. (713) 892-3699

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME Loveday	FIRST NAME Donald	MIDDLE NAME R.	
RESIDENCE & CITIZENSHIP	CITY Houston	STATE OR FOREIGN COUNTRY Texas	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 2419 Jasmine Ridge Court	CITY Houston	STATE OR COUNTRY Texas	ZIP CODE 77062

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

FULL NAME OF INVENTOR	LAST NAME Jejelowo	FIRST NAME Moses	MIDDLE NAME Olukayode	
RESIDENCE & CITIZENSHIP	CITY Houston	STATE OR FOREIGN COUNTRY Texas	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 12827 Justin	CITY Houston	STATE OR COUNTRY Texas	ZIP CODE 77070

Inventor's signature *M. Jejelowo* Date 4/8/99

FULL NAME OF INVENTOR	LAST NAME Kao	FIRST NAME Sun-Chueh	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY Belle Mead	STATE OR FOREIGN COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States of America	
POST OFFICE ADDRESS	POST OFFICE ADDRESS 7 Durham Court	CITY Belle Mead	STATE OR COUNTRY New Jersey	ZIP CODE 08502

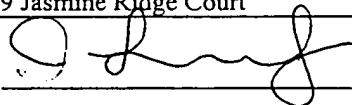
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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POST OFFICE ADDRESS	POST OFFICE ADDRESS 2419 Jasmine Ridge Court	CITY Houston	STATE OR COUNTRY Texas
			ZIP CODE 77062

Inventor's signature


Date APRIL 2, 1999

FULL NAME OF INVENTOR	LAST NAME Jejelowo	FIRST NAME Moses	MIDDLE NAME Olukayode
RESIDENCE & CITIZENSHIP	CITY Houston	STATE OR FOREIGN COUNTRY Texas	COUNTRY OF CITIZENSHIP United States of America
POST OFFICE ADDRESS	POST OFFICE ADDRESS 12827 Justin	CITY Houston	STATE OR COUNTRY Texas
			ZIP CODE 77070

Inventor's signature

Date

FULL NAME OF INVENTOR	LAST NAME Kao	FIRST NAME Sun-Chueh	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY Belle Mead	STATE OR FOREIGN COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States of America
POST OFFICE ADDRESS	POST OFFICE ADDRESS 7 Durham Court	CITY Belle Mead	STATE OR COUNTRY New Jersey
			ZIP CODE 08502

Inventor's signature

Date

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT,  
NOT ACCOMPANYING  
APPLICATION**

Application Number	09/207,213
Filing Date	December 8, 1998
First Named Inventor	Moses O. Jejelowo, et al.
Group Art Unit	1713
Examiner Name	R. Rabago
Attorney Docket Number.	1997U001A.US

I hereby appoint:

☐ Practitioners at Customer Number



*Place Customer  
Number Bar Code  
Label Here*

**OR**

☒ Practitioner(s) named below:

Attorney/Agent	Registration Number
Darrell E. Warner	36,046
Lisa Kimes Jones	41,878
Raul R. Montes	32,545

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

**OR**

<input checked="" type="checkbox"/> Firm or Individual Name	Univation Technologies, LLC				
Address	5555 San Felipe				
Address	Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	United States of America				
Telephone	(713) 892-3668			Fax	(713) 892-3687

I am the:

☐ Applicant

☒ Assignee of record of the entire interest

**SIGNATURE OF Applicant or Assignee of Record**

Name (Print/Type)	Raul R. Montes, Secretary and General Counsel for Univation Technologies, LLC
Signature	<i>Raul R. Montes</i>
Date	March 6, 2001

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT,  
NOT ACCOMPANYING  
APPLICATION**

Application Number	09/808,609
Filing Date	03/14/2001
First Named Inventor	Donald R. Lov day
Group Art Unit	1713
Examiner Name	Rabago, Roberto
Attorney Docket Number.	1997U001AD1.US

I hereby appoint:

☒ Practitioners at Customer Number

25959

OR

☐ Practitioner(s) named below:

Attorney/Agent	Registration Number
Stephen P. Koch	37,660
Kevin M. Faulkner	45,427
Raul R. Montes	32,545
Osborne K. McKinney	40,084
Kelly A. Nowak	35,620

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

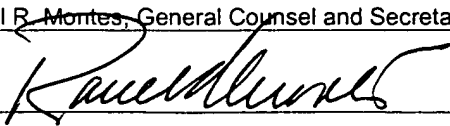
<input type="checkbox"/> Firm or Individual Name	Univation Technologies, LLC				
Address	5555 San Felipe				
Address	Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	United States of America				
Telephone	(713) 892-3729			Fax	(713) 892-3687

I am the:

☐ Applicant

☒ Assignee of record of the entire interest

**SIGNATURE OF Applicant or Assignee of Record**

Name (Print/Type)	Raul R. Montes, General Counsel and Secretary for Univation Technologies, LLC
Signature	
Date	



10971001A US



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

7-31-99

U.S. PATENT  
10/696688E



JULY 15, 1999

PTAS

UNIVATION TECHNOLOGIES  
JAIMES SHER  
5555 SAN FELIPE, SUITE 1950  
HOUSTON, TEXAS 77056



\*101016101A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/19/1999

REEL/FRAME: 9924/0468  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LOVEDAY, JR., DONALD R.

DOC DATE: 01/29/1999

ASSIGNOR:

JEJELOWO, MOSES O.

DOC DATE: 02/04/1999

ASSIGNOR:

KAO, SUN-CHUEH

DOC DATE: 04/07/1999

ASSIGNEE:

UNIVATION TECHNOLOGIES LLC.  
5555 SAN FELIPE, SUITE 1950  
HOUSTON, TEXAS 77056

SERIAL NUMBER: 09207213  
PATENT NUMBER:

FILING DATE: 12/08/1998  
ISSUE DATE:

9924/0468 PAGE 2

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-21-1999



101016101

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Donald R. Loveday, Jr. Moses O. Jejelowo Sun-Chueh Kao</p> <p>4-19-99</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: Univation Technologies LLC.</p> <p>Street Address: 5555 San Felipe, Suite 1950</p> <p>City: Houston State: Texas ZIP: 77056</p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other</p> <p>Execution Date: <u>January 29, 1999; February 4, 1999; April 7, 1999</u></p>	

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

<p>A. Patent Application No.(s) 09/207,213</p>	<p>B. Patent No.(s)</p>
----------------------------------------------------	-------------------------

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning documents should be mailed:</p> <p>Name: Jaimes Sher</p> <p>Internal Address: Univation Technologies</p> <p>Mailing Address: 5555 San Felipe, Suite 1950</p> <p>City: Houston State: Texas ZIP: 77056</p>	<p>6. Total number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 1.41): \$40.00</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: 50-0589</p>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true Copy of the original document.*

<p>Jaimes Sher</p> <p>_____ Name of Person Signing</p>	<p></p> <p>_____ Signature</p>	<p>April 14, 1999</p> <p>_____ Date</p>
------------------------------------------------------------	------------------------------------	---------------------------------------------

Total number of pages including cover sheet, attachments, and document: [2]

Mail documents to be recorded with required cover sheet information to:

04/20/1999 DNGUYEN 00000340 500589 09207213

01 EC:581 40.00 CH

COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENT  
WASHINGTON DC 20231

# ASSIGNMENT

## INVENTOR or INVENTORS:

Donald R. Loveday, Jr.

Moses O. Jejelowo

In consideration of One Hundred Twenty-five Dollars (\$125.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Exxon Chemical Patents Inc., a corporation of Delaware, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

POLYMERIZATION CATALYST SYSTEMS, THEIR USE, THEIR PRODUCTS AND ARTICLES THEREOF

said application having been executed on the 2nd and 8th day(s) of April, 1999, and being further identified as Case No. 97U001A, USSN 09/207213; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application, as well as the filing date and application number thereof when known.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE JANUARY 29 1999 Donald R. Loveday (LS)  
Donald R. Loveday

Gloria D. Zellars  
Witness  
DATE 2/4/99 Moses O. Jejelowo (LS)  
Moses O. Jejelowo

W. Fred Bondurab  
Witness

**ASSIGNMENT OF INVENTION,  
PRIORITY RIGHTS AND RIGHTS TO APPLY FOR PATENTS**

I, the undersigned, Sun-Chueh Kao, HEREBY STATE UNDER OATH that I am the original and first or co-inventor of an invention for **Polymerization Catalyst Systems, Their Use, Their Products and Articles Thereof** as described and/or claimed in my application for Letters Patent in the United States of America, executed on April 7, 1999, given the Serial No. 09/207,213, and filed on **December 8, 1998**, with the Family Number 1997U001A.

NOW, THEREFORE, in consideration of my employment by Union Carbide Corporation, manufacturers, a corporation organized and existing under the laws of the State of New York, United States of America and having an office at 39 Old Ridgebury Road, Danbury, State of Connecticut, 06817-0001, United States of America, and having as its fifty-percent-owned joint venture, UNIVATION TECHNOLOGIES, LLC, technology licensors, a corporation organized and existing under the laws of the State of Delaware, United States of America, and having an office at 5555 San Felipe, Suite 1950, Houston, Texas 77056, United States of America,

I DO HEREBY ASSIGN AND TRANSFER, without any restrictions, reservations or limitations, my entire right, title, and interest in and to said invention and application unto, as the Assignee, the aforesaid UNIVATION TECHNOLOGIES, LLC., its successors, assigns and nominees, and the entire right, title and interest in and to all patents which may be granted thereon, and all divisions, continuations, continuations-in-part, reissues, reexaminations and extensions thereof;

AND ALL PRIORITY RIGHTS derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property, for any and all member countries of the aforesaid International Convention;

AND THE SOLE RIGHT to file such applications under the Patent Laws of any country of the world in its name and/or mine, and the sole right to have patents granted

on said applications in its name and/or mine to the full end of the term for which said patents may be granted as fully and entirely as the same would have been held by me had this assignment not been made, and to enforce said patents with my right to sue for and recover accrued profits or damages for any and all infringements thereof;

AND AUTHORIZE the above-named Assignee to insert in this instrument the execution date, serial number and filing date of said application;

AND AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue all patents on said invention to said UNIVATION TECHNOLOGIES, LLC. as Assignee of the entire right, title and interest, and covenant that I have full right so to do, and agree that I will communicate to said Corporation or its representatives any facts known to me respecting said invention and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Corporation, its successors, assigns, and nominees, to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, this assignment has been executed by  
UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE: April 7, 99 SIGNATURE: Sun-Chueh Kao  
Sun-Chueh Kao

Michael Ame  
WITNESS